

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

2002DE115

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

unknown 10/516929

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

PCT/EP03/05671

30 May 2003

08 June 2002

TITLE OF INVENTION

Side-Chain-Modified Copolymer Waxes

APPLICANT(S) FOR DO/EO/US

Franz-Leo HEINRICH

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ Has been communicated by the International Bureau.
  - c. ☐ Is not required, as the application was filed in the United States Receiving Office (Ro/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ Has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ Have been communicated by the International Bureau.
  - c. ☐ Have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ Have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An application Data Sheet under 37 CFR 1.76
15. ☐ A substitute specification
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter .2 and 37 CFR 1.821 – 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:
  - Express Mail Label Number: ER913328390US; copy of the International Search Report.

Customer Number 25,255

U.S. APPLICATION NO. (if known) see 37 CFR 1.53 unknown		INTERNATIONAL APPLICATION NO. PCT/EP03/05671		ATTORNEY'S DOCKET NUMBER 2002DE115	
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21. ☒ The following fees are submitted:  
**BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):**  
 Neither international preliminary examination fee (37 CFR 1.482)  
 Nor international search fee (37 CFR 1.445(a)(2) paid to USPTO  
 and International Search Report not prepared by the EPO or JPO.....\$1,110.00  
 International preliminary examination fee (37 CFR 1.482) not paid to  
 USPTO but International Search Report prepared by the EPO or JPO.....\$ 950.00  
 International preliminary examination fee (37 CFR 1.482) not paid to  
 USPTO but International Search fee (37 CFR 1.482) paid to USPTO.....\$790.00  
 International preliminary examination fee (37 CFR 1.482) paid to USPTO  
 but all claims did not satisfy provisions of PCT Article 33 (1)-(4).....\$750.00  
 International preliminary examination fee (37 CFR 1.482) paid to USPTO  
 and all claims satisfied provisions of PCT Article 33 (1)-(4) .....\$100.00  
**ENTER APPROPRIATE BASIC FEE AMOUNT =**

Surcharge of **\$130.00** for furnishing the oath or declaration later than 30 months  
 From the earliest claimed priority date (37 CFR 1.492(e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	19 - 20 =	0	x \$18.00	\$ 0.00
Independent claims	2 - 3 =	0	x \$88.00	\$ 0.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$300.00	\$ 0.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 950.00

☐ Applicant claims small entity status. See 37 CFR 1.27. The fee indicated above are reduced by 1/2.

**SUBTOTAL =**

Processing fee of **\$130.00** for furnishing the English translation later than 30 months  
 From the earliest claimed priority date (37 CFR 1.492(f)).

**TOTAL NATIONAL FEE =**

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
 accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). **\$40.00** per property +

**TOTAL FEES ENCLOSED =**

	Amount to be refunded:	\$
	charged:	\$

**CALCULATIONS PTO USE ONLY**

a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. 03-2060 in the amount of \$ 950.00 to cover the above fees.  
 A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 03-2060. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

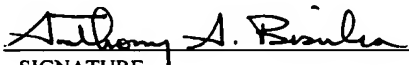
  

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:  
 Clariant Corporation  
 Industrial Property Department  
 4000 Monroe Road  
 Charlotte, North Carolina 28205  
 Telephone: 704-331-7151  
 Fax: 704-331-7707

Customer Number 25,255

  
 SIGNATURE

Anthony A. Bisulca  
 NAME

40,913  
 REGISTRATION NUMBER